

## Review

# Recent Advances in Imaging Alzheimer's Disease

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**Abstract.** Advances in brain imaging technology in the past five years have contributed greatly to the understanding of Alzheimer's disease (AD). Here, we review recent research related to amyloid imaging, new methods for magnetic resonance imaging analyses, and statistical methods. We also review research that evaluates AD risk factors and brain imaging, in the context of AD prediction and progression. We selected a variety of illustrative studies, describing how they advanced the field and are leading AD research in promising new directions.

**Keywords:** Alzheimer's disease, amyloid, imaging, magnetic resonance imaging, methods, positron emission tomography, prediction, progression, risk factors

Alzheimer's disease (AD) is the most prevalent neurodegenerative disorder worldwide. It is the sixth most common cause of death in the US. All of us are at risk, and there is no known cure. About 13.2 million Americans will be diagnosed with AD by the year 2050 [1]. Late onset AD, the more common form of the disease, is 58–74% heritable [2–4]. Apolipoprotein E  $\epsilon$ 4 allele (*APOE4*) is a long-standing known AD risk gene [5], that thus far increases late-onset AD risk by the greatest factor [6]. However, in the last three years, large multiple-cohort genome-wide association studies (GWAS) have found several new single nucleotide polymorphisms (SNPs) that each affect the lifetime odds ratios for developing AD by approximately  $\pm 0.10$ – $0.20$  [7–10]. However, much of the genetic risk for AD is still unexplained, probably because a large number of genetic variants, each with a small effect, combine with environmental factors to contribute to AD onset. As we will show later,

neuroimaging can help reveal the function of these new AD risk variants and how they influence brain integrity over the lifespan.

By the time AD can be detected using standard clinical assessments, the brain typically has undergone widespread irreversible neuronal and synaptic loss [12]. This neurodegeneration may be promoted by long-standing toxic processes such as amyloid aggregation and neurofibrillary tangle formation (the hallmarks of AD) and inflammation. To avert the looming AD crisis, we must identify better ways to track and treat AD in its earliest stages, even in people who are presymptomatic. Neuroimaging is an ideal tool for this; in the past five years alone, it has helped to show how controllable lifestyle factors, including exercise, education, and dietary factors such as folate and iron levels, affect brain integrity and disease risk later in life [13–18]. Neuroimaging advances, such as *in vivo* mapping of amyloid plaques and tau neurofibrillary tangles, and increasingly sensitive techniques for detecting atrophy on magnetic resonance images (MRI) have also made it easier to track AD progression. Large-scale genetic and epidemiological analyses of brain images may also discover factors that promote

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and deter atrophy. Only in the last few years have we routinely seen analyses of hundreds to thousands of brain scans. The largest neuroimaging genetics study to date [19] offered ~99.92% power to detect genetic variants that affect as little as 1% of the variance in hippocampal volume. Given this new level of power to distinguish risk gene effects on the brain [20], whole new lines of discovery are possible. We now know how multiple AD risk-conferring genes affect brain function and structure [21], and we are beginning to discover networks of genetic risk factors that affect the brain simultaneously. Finally, a major line goal of AD imaging is to identify at-risk older adults who are most likely to decline cognitively. If a drug trial uses neuroimaging as an outcome measure, the time and cost of the trial will be greatly reduced if imminent decliners can be selected in advance. In this paper we note ways in which imaging help identify decliners, boosting power for AD-related clinical trials.

## AMYLOID IMAGING

A major advance in AD research over the past 5 years has been the ability to assess levels of amyloid plaques and tau neurofibrillary tangles in the living brain. Before amyloid imaging was possible, AD could only be definitively diagnosed at autopsy based on characteristic microscopic features [22]. Some early pioneering studies linked postmortem pathology to *in vivo* patterns of glucose metabolism measured using fluorodeoxyglucose (FDG) positron emission tomography (PET) [23]. However, typically, the unpredictable interval between the patients' last clinical assessments and their deaths make it difficult to compare clinical symptoms to AD pathology. By contrast, amyloid PET scans of the living brain make it possible to evaluate longitudinal changes in AD pathology, and to relate them to clinical changes assessed at the same time as the scans. A key goal has been to establish these PET measures as reliable biomarkers of clinical severity or disease risk. If a correlation is established with cognitive scores, or with future decline in those scores, the PET measures become more promising metrics to evaluate treatment and prevention efforts. Proof of concept for plaque and tangle PET imaging in living humans was established in 2002 [24] using 2-(1-(6-[(2-[<sup>18</sup>F]fluoroethyl)(methyl)amino]-2-naphthyl)ethylidene)malononitrile (also known as [<sup>18</sup>F]FDDNP). In 2004, the PET probe, N-methyl-[<sup>11</sup>C]2-(4-methylaminophenyl)-6-hydroxy benzothiazole (also known as Pittsburgh Compound

B or [<sup>11</sup>C]PIB) was also introduced [25]. Like [<sup>18</sup>F]FDDNP, [<sup>11</sup>C]PIB allows PET imaging of amyloid plaques in the living brain. However, unlike [<sup>18</sup>F]FDDNP, [<sup>11</sup>C]PIB has a higher signal to noise ratio and is specific to senile amyloid plaques, while [<sup>18</sup>F]FDDNP signal reflects senile and diffuse amyloid plaques as well as neurofibrillary tangle load [26]. [<sup>11</sup>C]PIB has a shorter half-life, so scans must be performed very nearby, soon after probe creation. Depending on study goals, each probe may offer advantages. [<sup>18</sup>F]FDDNP is particularly useful for examining medial temporal lobe pathology, where neurofibrillary tangles are likely to predominate in preclinical AD [27], while [<sup>11</sup>C]PIB is useful for studies that focus specifically on amyloid deposition. Higher levels of both [<sup>11</sup>C]PIB and [<sup>18</sup>F]FDDNP are correlated with lower cerebrospinal fluid (CSF) levels of amyloid- $\beta$  42 (A $\beta$ <sub>42</sub>) [28, 29], the main building block for amyloid plaques, and higher levels of CSF tau [29], the building block for neurofibrillary tangles. This provides converging evidence for the validity of these tools.

PET imaging of AD pathology has helped chart the trajectory of pathology as it spreads in the living brain. [<sup>18</sup>F]FDDNP has shown regional plaque and tangle load differences between cognitively intact older adults and those with mild cognitive impairment (MCI) [30]. Cognitive performance was linked to the plaque and tangle load, and the associations were displayed across the brain in 3D [31]. PET measures were correlated with subtle cognitive differences even in the normal elderly, and thus show promise for gauging presymptomatic disease progression. In a cross-sectional study, we found that those with lower cognitive ability had higher [<sup>18</sup>F]FDDNP signal in regions that approximated the classic Braak and Braak trajectory; as performance declined, pathology increased in lateral temporal, parietal, and frontal cortices [31] (Fig. 1). Cognitive performance was also related to [<sup>11</sup>C]PIB signal in normal elderly and MCI subjects. In one study, the relationship between amyloid load and episodic memory was mediated by hippocampal volume [32].

Higher levels of [<sup>11</sup>C]PIB signal in the brain are heritable [33], associated with family history of AD [34], and similar in monozygotic twins discordant for AD [35]. *APOE* genotype, the strongest genetic risk factor for late onset AD, is related to both [<sup>11</sup>C]PIB and [<sup>18</sup>F]FDDNP signal in cognitively intact older adults [36, 37]. However, it does not fully explain the heritability of [<sup>11</sup>C]PIB signal [33], suggesting that amyloid imaging on a large scale may eventually uncover new genetic risk factors for late onset

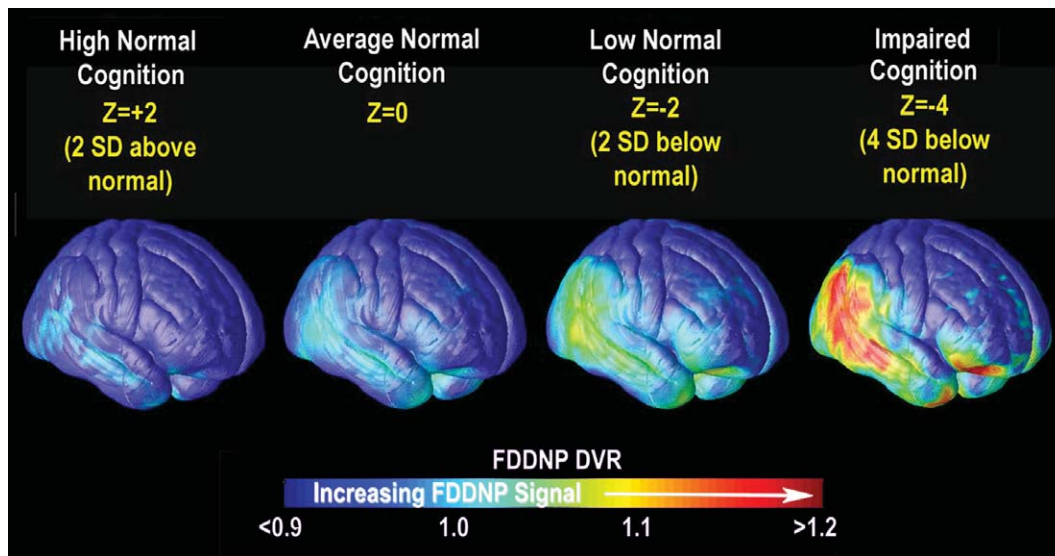


Fig. 1. Spread of AD pathology mapped with PET. By regressing cortical measures of  $^{18}\text{F}$ FDDNP signal (DVR) against cognitive scores in cross sectional data, we computed the pattern of pathology for subjects who were certain numbers of standard deviations above and below the norm for cognitive performance. Red indicates where greater predicted  $^{18}\text{F}$ FDDNP signal was associated with poorer cognition based on a nonlinear spatially-varying model. Adapted from Braskie et al. [31] with permission from the authors and publishers.

AD. Longitudinal  $^{11}\text{C}$ PIB and structural MRI have also offered insight into disease progression, showing that amyloid deposition occurs at a constant slow rate while neurodegeneration accelerates; clinical symptoms were related more strongly to neurodegeneration than to amyloid deposition, which typically occurs before cognitive decline is evident [38]. However,  $^{11}\text{C}$ PIB signal levels can still predict conversion from normal cognition and amnesic MCI to AD [39–41]. Additionally,  $^{11}\text{C}$ PIB data were complementary to MRI data and together, both allowed for a more accurate AD diagnosis [42].  $^{11}\text{C}$ PIB has even been used to evaluate how an AD drug treatment (bapineuzumab) affects amyloid plaque load *in vivo* [43]. Other  $^{11}\text{C}$ PIB studies explored the cognitive reserve hypothesis, which suggests that people with brains that better compensate for physiological deficits may accumulate more pathology before clinical symptoms are evident [44]. Education modulates the relationship between  $^{11}\text{C}$ PIB signal and cognition, with greater plaque deposition relating to cognition less strongly in more highly educated adults [45, 46]. Amyloid imaging has also been useful for evaluating disruptions to the default mode network (DMN), a co-activated network of cortical brain regions that show more functional MRI activity at rest than during a directed task. The DMN shows deficits in AD patients [47], and DMN activity strength correlates with working

memory performance [48]. Both  $^{18}\text{F}$ FDDNP and  $^{11}\text{C}$ PIB have shown that higher AD pathology is associated with lower DMN activity, even in cognitively intact older adults [49–53].

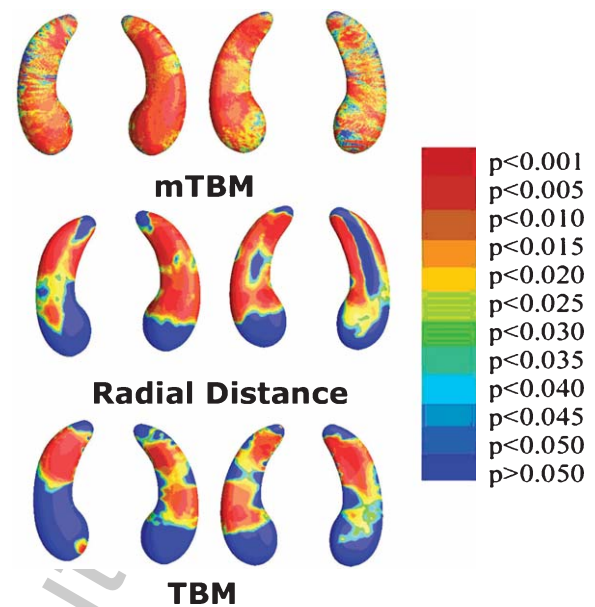
New PET amyloid probes such as  $^{18}\text{F}$ 3'-F-PiB (Flutemetamol) [54],  $^{18}\text{F}$ -AV-45 (Florbetapir) [55], and  $^{18}\text{F}$ -AV-1 (Florbetaben) [56] are now being investigated. These combine the specificity of  $^{11}\text{C}$ PIB with the longer half-life of  $^{18}\text{F}$ FDDNP, so the amyloid-specific probe can be created at a separate location and shipped. A promising lead,  $^{18}\text{F}$ -THK523, also exists for *in vivo* imaging of neurofibrillary tangles, but has not yet been human-tested [57].

## NEW METHODS FOR MRI ANALYSIS

Both greater ventricular volume [58–61] and lower medial temporal lobe structure volumes, especially of the hippocampus [60–65], predict cognitive decline and are associated with AD and genetic risk for AD. However, manual segmentation of these structures is time-consuming and is a limiting factor when a large study sample size is needed to obtain reliable results. Methods for fully automatic segmentation of subcortical structures have greatly improved in recent years. Large numbers of subjects can now be processed accurately and quickly, with high reproducibility.

209 This allows researchers to detect subtle relationships  
 210 more efficiently, while controlling for multiple  
 211 confounds simultaneously. For example, the Enhancing  
 212 NeuroImaging Genetics through Meta-Analysis  
 213 (ENIGMA) project recently analyzed hippocampal  
 214 volume using automated methods in 21,000 subjects  
 215 scanned at over 20 sites worldwide [19]. This was the  
 216 first study in history to identify new genetic variants  
 217 that influence hippocampal volumes; clearly, tradi-  
 218 tional manual tracing would not have been feasible in  
 219 such a sample size.

220 One new hippocampal segmentation method is a  
 221 machine learning method based on “adaptive boost-  
 222 ing”, in which an automated method learns from expert  
 223 manual tracings; it can also learn from its mistakes.  
 224 AdaBoost [66] is a meta-algorithm that combines  
 225 weak classifiers (those that do not perform perfectly  
 226 on their own but perform better than chance) such  
 227 that regions with hippocampal segmentation errors  
 228 receive more attention in subsequent iterations. This  
 229 method has been extended to segment hippocampi of  
 230 large numbers of AD and MCI patients automatically.  
 231 The level of agreement between automated segmen-  
 232 tations and manual ones was similar to agreement  
 233 between two expert trained raters working indepen-  
 234 dently. However, automated segmentation can be used  
 235 to analyze hundreds of scans in a few hours [67].  
 236 The segmentation of MRI data from large samples,  
 237 such as the Alzheimer's Disease Neuroimaging Initiative  
 238 (ADNI) cohort, has led to detailed calculations of  
 239 sample sizes required to detect correlations between  
 240 hippocampal structure, clinical assessments, and CSF  
 241 biomarkers [68]. Work is still underway to deter-  
 242 mine which segmentation methods agree best with  
 243 manual tracings. The ENIGMA consortium, which  
 244 performed the largest-ever hippocampal volume analy-  
 245 sis, concluded that the most accurate software depends  
 246 on the dataset, but the methods correlate well with  
 247 each other, as long as some manual quality control  
 248 is performed [19]. Shape-based morphometry studies  
 249 have attempted to evaluate measures of hippocampal  
 250 structure more complex than volume, as subregional  
 251 measures may better distinguish diagnostic groups or  
 252 predict imminent decline. One study combined radial  
 253 distance (the distance from the medial core of structure  
 254 to each surface point) and multivariate tensor-based  
 255 morphometry (mTBM; which uses spatial derivatives  
 256 of the deformation maps used to register each brain  
 257 image to a template image) [69] to study hippocampal  
 258 structure in AD and MCI patients and normal con-  
 259 trols [70]. This combines complementary information  
 260 from radial distance, which measures hippocampal size



261 Fig. 2. Mapping hippocampal correlates of tau proteins in the CSF.  
 262 Here 3D maps show where alterations of hippocampal shape relate  
 263 to levels of tau protein, measured in the CSF using lumbar puncture.  
 264 To create these maps, we use a method called ‘multivariate tensor-  
 265 based morphometry’ (mTBM; *top row*), as well as other methods to  
 266 assess surface morphometry: radial distance maps (*middle row*) and  
 267 standard TBM (*bottom row*). Non-blue colors show vertices with  
 268 statistical differences, at the 0.05 level, uncorrected. Relationships  
 269 were detected most sensitively with mTBM. Clearly, advanced math-  
 270 ematical methods can boost power to pick up associations between  
 271 brain and CSF biomarkers, offering more detail than traditional mea-  
 272 sures of hippocampal volume. Adapted from Wang et al. [70] with  
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261 in terms of the surface normal direction, and mTBM,  
 262 which measures deformation within surfaces, to iden-  
 263 tify differences in hippocampal and lateral ventricle  
 264 structure that relate to diagnosis (Fig. 2). Except for  
 265 group differences between AD and MCI in the hip-  
 266 pocampus (where mTBM performed the best), these  
 267 combined methods detected diagnostic group differ-  
 268 ences at least as well as mTBM, TBM, or radial  
 269 distance alone, allowing statistically significant differ-  
 270 ences to be detected in smaller samples [70]. This may  
 271 be partly because TBM resolves more diffuse atrophy  
 272 (such as in the temporal lobe as a whole) more  
 273 sensitively than smaller-scale changes (such as in the  
 274 hippocampus) [71].

275 Other methods target the ventricular system. Ven-  
 276 tricular enlargement is the most prominent radiological  
 277 marker of atrophy on brain MRI, albeit a relatively  
 278 nonspecific one. Our laboratory recently created and  
 279 validated an automated three-dimensional surface-  
 280 meshing tool to automatically extract the lateral

281 ventricles of the brain [72]. Previously, the narrow-  
 282 ness of the inferior and posterior horns made it difficult  
 283 for a computer program to label all regions of the  
 284 ventricles consistently, but this new tool used sur-  
 285 face models from several manually traced images, and  
 286 aligned them to a new scan using a warping algorithm.  
 287 By averaging several estimates of the segmentation, we  
 288 obtained highly accurate and robust results. Such an  
 289 approach, termed multi-atlas segmentation, decreased  
 290 segmentation error and increased the statistical power  
 291 to differentiate AD patients from controls [72]. When  
 292 used to map ventricular changes in the ADNI cohort,  
 293 baseline ventricular morphology was correlated with  
 294 a cognitive decline over the following year, and was  
 295 related to CSF  $A\beta_{42}$  levels [73]. This confirms ear-  
 296 lier study findings that ventricular morphology is both  
 297 AD-relevant and predictive of clinical symptoms. Such  
 298 information is invaluable in AD prevention trials where  
 299 an enriched sample is necessary to evaluate treatments  
 300 using a reasonable sample size.

## 301 STATISTICAL METHODS

302 Recent publications have provided useful guidelines  
 303 for the most efficient use of resources in future studies.  
 304 By assessing changes in groups of subjects scanned  
 305 longitudinally, several studies have empirically deter-  
 306 mined the best methods for boosting statistical power,  
 307 often by calculating sample sizes needed to evalu-  
 308 ate certain effects. For instance, in a large ( $n = 1,030$ )  
 309 longitudinal TBM study, the ability to detect struc-  
 310 tural atrophy over time was enhanced by summarizing  
 311 changes in statistically defined regions of interest  
 312 derived from a training sample of 22 AD patients.  
 313 Sample sizes needed to detect brain changes using the  
 314 best MRI analysis methods were far lower than those  
 315 needed to detect cognitive change using typical clinical  
 316 tests [74]. In general, longer follow-up periods (such  
 317 as 24 months) increased the power to detect change.  
 318 Even so, many subjects drop out of longitudinal stud-  
 319 ies, so the added power of a long trial must be traded-off  
 320 against the risk of losing too many subjects. The attri-  
 321 tion rate for ADNI is only around 7% per year, but,  
 322 in simulations, when more than 15-16% of subjects  
 323 dropped out annually, a shorter time period (such as  
 324 12 months) provided more statistical power [75].

325 Cerebral glucose metabolism rate changes over one  
 326 year are also easier to detect using statistically-defined  
 327 regions of interest derived from a training subsample  
 328 of the data [76]. Even so, for a statistically-designed  
 329 region of interest to be approved by the FDA as a

330 reasonable outcome measure in a clinical trial, some  
 331 criteria would have to be determined to identify it from  
 332 a subset of the data. Given the power of statistical  
 333 brain maps and the range of methods to analyze them,  
 334 detailed head-to-head comparisons with more stan-  
 335 dard volumetric measures are needed [77]. In related  
 336 work, our lab used a Support Vector Machine algorithm  
 337 to combine numerous types of biomarkers, including  
 338 brain imaging, to classify subjects as AD, MCI, or  
 339 healthy elderly controls [78]. MRI measures best iden-  
 340 tified AD subjects, but PET-FDG and CSF biomarkers  
 341 (particularly  $A\beta_{42}$ ) better identified subjects as hav-  
 342 ing MCI. This work also showed that if those most  
 343 likely to decline can be identified, fewer subjects are  
 344 required to detect a specific slowing of the atrophy  
 345 rate over time in response to a given treatment [78].  
 346 Finally, many studies combine multiple biomarkers for  
 347 predicting decline, but some of the biomarker data are  
 348 often unavailable for some of the subjects. For instance,  
 349 in ADNI, only half the subjects had FDG-PET and  
 350 still fewer had amyloid imaging or lumbar puncture to  
 351 assess CSF analytes. Sparse coding methods, an inno-  
 352 vation from mathematics, are being used to address the  
 353 problem of missing data in predictive models [79].

## 354 RISK FACTORS

355 Both genetic and environmental factors influence  
 356 AD risk, and in recent years, many of these factors  
 357 have also been shown to influence brain structure and  
 358 function in regions relevant to AD. Numerous studies  
 359 have demonstrated that possession of *APOE4* is related  
 360 to brain differences in healthy and cognitively impaired  
 361 older adults [80]. In recent years, several GWAS have  
 362 identified and confirmed new genetic risk factors for  
 363 late onset AD [7-9, 81, 82]. Some top AD polymor-  
 364 phisms have since been associated with differences in  
 365 brain structure [83] and function [84, 85]. For instance,  
 366 diffusion tensor imaging has shown that the allele C  
 367 in the clusterin (*CLU*) gene at rs11136000 is associ-  
 368 ated with lower white matter integrity in healthy young  
 369 adults in many regions affected in AD [11] (Fig. 3).  
 370 At least one copy of this adverse allele is carried by  
 371 approximately 84% of non-demented Caucasians [6],  
 372 and the C allele increases the lifetime odds of AD by  
 373 1.14 in Caucasians [6]. This suggests a developmental  
 374 vulnerability to AD in these young people. The *CLU*  
 375 risk allele has also been associated with differences  
 376 in brain function, assessed using functional MRI [84,  
 377 85]. Variants in other top AD-related genes such as  
 378 *PICALM*, *CRI*, and *BINI* have been associated with

AD-relevant measures such as hippocampal volume or gray matter density, entorhinal cortex thickness [86, 87]. Several new studies have also found that maternal versus paternal (or no) family history of AD may affect brain structure in ways that are important to AD risk [88–92]. Maternal family history may be useful to include in future genetic studies of AD, and AD family history in general may be useful for selecting people for clinical trials, especially when study efficiency relies on identifying those likely to decline cognitively.

Most of the newly discovered AD risk genes increase a person's aggregate risk only mildly [6], so some tools aim to evaluate the effects of multiple genetic variants simultaneously. A few studies have used polygenic scores to assess the cumulative effect of certain AD risk variants on brain structure. In these studies, each subject is assigned a score based on the number of allele copies for certain previously identified risk variants, in some cases using odd ratios to weight the importance of different genes. This allows researchers to assess cumulative effects of several genetic risk factors on brain measures related to AD [86, 93]. In addition, several new tools are available that offer more flexibility for exploring genetic risk than a single weighted value can provide. For instance, our lab created a tool that allowed a genome-wide search for gene variants that are associated with brain structure [94]. This tool identified one gene variant, in the *GRIN2B* gene, that was associated with temporal lobe volume in AD and MCI patients and healthy older controls (Fig. 3). The protein encoded by *GRIN2B* is the N-methyl-D-aspartate (NMDA) glutamate receptor NR2B subunit, which is important in learning and memory and is already a target for AD research [94]. Another new tool allows for a voxelwise gene-wide association, which searches the whole brain, point-by-point, for evidence of gene effects [95]. Each gene may contain multiple SNPs, many of which may be highly correlated, so this method tests all SNPs in a gene at once, reducing the number of tests performed, and thus increasing statistical power to detect effects (as there is a less heavy correction for multiple comparisons).

Yet another tool tested genome-wide association at each voxel in the brain, studying only the most associated variant at each voxel to reduce the number of multiple comparisons [96]. An additional new tool to emerge accepts input from the user as to which SNPs will be evaluated, and controls for the effect of each SNP when evaluating each other SNP in the input list [97]. One recent study used penalized linear discriminant analysis to first identify a characteristic pattern of AD-related brain differences. We then used

that imaging signature as a biomarker in a genome-wide association, performed using sparse reduced-rank regression, to identify genetic variants of interest [98]. Tools such as these help researchers to evaluate how multiple genetic variants together increase AD risk. They can also identify new genetic risk variants, bringing us closer to determining key mechanisms in the disease process.

Several studies have revealed how environmental risk factors for AD, such as cardiovascular risk, homocysteine levels, and insulin resistance affect brain structure and function in AD-relevant ways. For instance, obesity and a commonly-carried risk gene for obesity (*FTO*) (Fig. 3) have been linked to more atrophied brains and smaller hippocampi in older adults [15, 17, 99–101]. Along with increased body mass, higher blood pressure has also been associated with differences in functional brain patterns in AD-relevant regions during a memory task [102], and blood cholesterol levels have been linked to hippocampal and other brain gray matter volume [103, 104]. Higher systolic blood pressure has even been associated with more amyloid deposition in AD-relevant brain regions in healthy older adults [105]. High levels of homocysteine (a derivative of the amino acid methionine) elevate risk for cardiovascular disease [106] and AD [107]. They have been linked to greater white matter atrophy in a large sample of older adults, and in the patients with MCI alone [18]. This effect may relate in part to a commonly-carried variant in the folate pathway gene, *MTHFR* [108]. Using B vitamins to lower homocysteine levels may slow the rate of brain atrophy in MCI patients [109]. Higher peripheral insulin is also associated with less AD-related brain atrophy and dementia severity in early AD [110]; in cognitively intact older adults, insulin resistance was associated with hippocampal volume [111]. Finally, iron homeostasis is important for healthy brain functioning, and its disruption may lead to cognitive impairment [112]. Our laboratory found that levels of serum transferrin, a protein that transports iron in the body, and a variant in the hemochromatotic *HFE* gene were both associated with white matter integrity in young adults [13] (Fig. 3). Gaining a better understanding of how environmental risk factors affect brain structure aids AD research in at least three ways: 1) it provides focal points for treatment efforts, and a way to evaluate them even before clinical decline is evident; 2) it helps identify those most likely to decline cognitively, boosting statistical power for clinical trials; and 3) it uncovers possible factors that should be controlled for when examining other genetic and environmental effects.



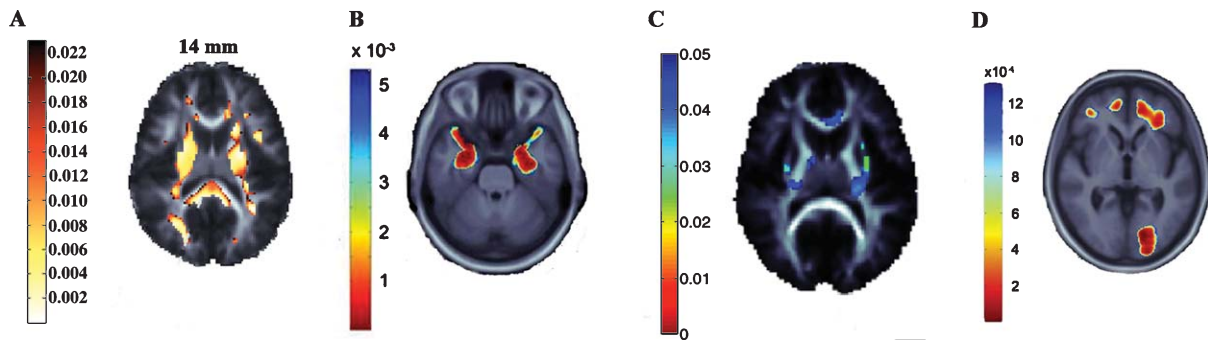


Fig. 3. Commonly carried genes associated with brain atrophy on MRI and brain integrity on diffusion tensor imaging. Highlighted voxels in each panel represent  $p$ -values indicating the relationship between a genetic variant and a brain feature. A) The AD risk allele C at rs11136000 in the *CLU* gene was associated with lower diffusion tensor imaging fractional anisotropy (FA), a measure of white matter integrity, in healthy young adults [11]. B) rs10845840 in the *GRIN2B* glutamate receptor gene—important in learning and memory—is associated with medial temporal lobe structure in MCI patients [94]. C) The H63D polymorphism in the *HFE* gene was associated with white matter FA in healthy young adults [13]. D) Regional brain tissue volumes in healthy older adults was lower in those who carried the obesity-associated allele of rs3751812 in the *FTO* gene [99], in line with prior work showing higher brain atrophy in more obese people. Figures are adapted from the referenced publications with the permission of the authors and publishers.

## PREDICTING COGNITIVE DECLINE OR CONVERSION TO AD

The ability to predict who will decline cognitively or develop AD over time is crucial to AD research. It provides a focus for treatment efforts, boosts power for clinical trials, and allows for further investigation of genetic and environmental factors that contribute to AD before clinical symptoms are evident and possibly before massive destruction of brain tissue has taken place. Predictors of cognitive decline in cognitively normal older adults include MRI measures of temporal and parietal structures [113] (particularly the CA1 of the hippocampus and the subiculum [114]) and the lateral ventricles [115]. Information from both MRI and CSF biomarkers was better for predicting cognitive decline than either measure alone [116]. Similarly, several other studies have found that multiple measures together best predict MCI patient conversion to AD. These measures typically included baseline cognitive tests [117–120], medial temporal lobe or hippocampal structure [117, 118, 120, 121], CSF biomarker levels [118], and cerebral glucose metabolism rates as measured by PET [119, 121]. Of studies that focused on individual biomarkers rather than combinations of them, two found that certain baseline MRI measures (medial temporal cortex or a structural abnormality score that reflect the degree of AD-like features) predict MCI conversion to AD somewhat better than CSF biomarker levels do [122, 123]. In fact, atrophy in a number of AD-related regions (such as the temporal and frontal lobes, temporoparietal cortex, anterior and

posterior cingulate, and the precuneus) has been found to be greater in MCI patients who convert to AD within 3 years versus those who do not [124]. Other studies found that a smaller CA1 of the hippocampus or subiculum [125] and lower baseline right caudate volumes [126] were associated with increased conversion from MCI to AD. One study found that for those with moderate MCI, although hippocampal and ventricular volume predicted conversion to AD, baseline cognitive testing predicted it better [61]. Because brain structure typically changes before cognitive ability [12], the same study performed earlier before cognitive changes were evident may have shown different results.

## DISEASE PROGRESSION

Understanding the progression of AD beginning in its presymptomatic phases is an essential goal for AD research for at least three reasons. First, determining in what order measurable biomarkers change helps clarify which factors help cause the disease and which may be incidental. Second, identifying the earliest biomarkers of change can be used to focus treatment efforts on those who do not yet have massive loss of brain tissue. Third, gaining a better understanding of the disease progression provides insight into the effects of treatment efforts or lifestyle factors at each disease stage. Several recent papers have used multiple types of biomarkers to assess the sequence of changes in early MCI and AD. In one study of amnesic MCI patients, hippocampal atrophy led to atrophy of the cingulum bundle and uncinate fasciculus, which was followed by

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543 glucose hypometabolism of the cingulate and subgenual  
544 cortices [127]. Another study assessed longitudinal  
545 amyloid deposition (using PIB PET scans) and ventricu-  
546 lar expansion (using MRI) over 1 year. Ventricular  
547 expansion rates increased with worsening diagnosis  
548 and correlated with cognitive test scores, but amyloid  
549 deposition rates were similar among diagnostic groups.  
550 This suggests that amyloid deposition occurs at a con-  
551 stant slow rate, and that clinical symptoms relate to  
552 neurodegeneration rather than to amyloid deposition  
553 [38]. Other researchers found that low levels of CSF  
554 A $\beta$ <sub>42</sub> was correlated with brain and hippocampal atro-  
555 phy rates and with the rate of ventricular expansion  
556 in cognitively normal older adults [128], demonstrat-  
557 ing that the change in CSF A $\beta$ <sub>42</sub> precedes and can  
558 predict brain atrophy, even before AD symptoms are  
559 evident. Cross-sectionally, CSF A $\beta$ <sub>42</sub> correlated with  
560 whole brain volumes in nondemented subjects, but in  
561 AD, CSF tau and phosphorylated tau were higher in  
562 those with smaller brains; this suggests that A $\beta$  toxicity  
563 may precede both clinical symptoms and changes in tau  
564 [129]. Other longitudinal studies focused on one imag-  
565 ing modality. Research showed that three years before  
566 an AD diagnosis, amnesic MCI patients showed brain  
567 loss largely in the medial temporal lobe (particularly  
568 anterior hippocampus, entorhinal cortex, and amyg-  
569 dala) compared with controls. By one year prior to  
570 diagnosis, this atrophy had spread to include the mid-  
571 dle temporal gyrus and more posterior medial temporal  
572 lobe, as well as parts of the parietal lobe. By the time  
573 the patients progressed to AD, the temporal and pari-  
574 etal atrophy was more severe, and substantial frontal  
575 lobe atrophy had occurred as well [130]. This spread  
576 of atrophy roughly mirrors the pattern of neurofib-  
577 rillary tangle progression noted by others [131], and  
578 is evidenced in cross-sectional research in which the  
579 temporal and parietal cortices, which are affected ear-  
580 lier [132], are also more severely atrophied compared  
581 with other cortical regions in AD versus MCI [133]. In  
582 MCI and AD patients, increased hippocampal volume  
583 loss rates over a one-year period were associated with  
584 lower CSF A $\beta$ <sub>42</sub> [134], and the atrophy rates increased  
585 with worsening diagnosis and cognitive decline [135].  
586 Similarly, temporal lobe atrophy rates in MCI were  
587 associated with greater cognitive decline [136], and  
588 AD patients with higher CSF phosphorylated tau/A $\beta$ <sub>42</sub>  
589 ratios also had faster atrophy rates [136]. Others have  
590 found that hippocampal volumes are smaller in those  
591 with amnesic versus multi-domain MCI [137], and are  
592 good predictors of clinical diagnosis [138]. Together,  
593 these findings suggest that temporal lobe and hip-  
594 pocampal atrophy are excellent biomarkers for clinical

595 and pathological aspects of AD, and that such atrophy  
596 may be an early sign of AD processes in asymptomatic  
597 older adults. In AD and MCI, it may serve as the most  
598 sensitive outcome measure for clinical trials [139].

599 Familial early onset AD (FAD), caused by rare auto-  
600 somal dominant genetic mutations, also has offered  
601 insights into disease progression in recent years. The  
602 benefit of such studies is that it is possible to determine,  
603 even in asymptomatic adults, who will develop AD,  
604 and approximately when. This precludes the need for  
605 lengthy prospective or retrospective studies to exam-  
606 ine presymptomatic AD brain changes. Additionally,  
607 smaller initial sample sizes can be used because all  
608 of the mutation carriers will eventually develop AD  
609 as opposed to a much smaller fraction of older adults  
610 who are simply “at-risk” for AD based on environmen-  
611 tal or genetic risk factors. Approximately 5.5 years  
612 prior to AD diagnosis, presymptomatic FAD muta-  
613 tion carriers had greater hippocampal atrophy rates  
614 than non-carriers, and smaller baseline hippocampi 3  
615 years before diagnosis [140]. Additionally, FAD muta-  
616 tion carriers had lower white matter integrity in the  
617 columns of the fornix, even presymptomatically [141].  
618 It is unclear to what extent FAD brain changes serve as  
619 a good model for late-onset AD, presymptomatically or  
620 otherwise. Expansion of such research to directly com-  
621 pare the two will be useful, because it may facilitate  
622 better treatments for those who are presymptomatic.  
623 It may also offer insights into general AD triggers  
624 and features of disease progression regardless of the  
625 specific genetic risk.

## 626 CONCLUSION

627 Improvements in brain scans and the tools to analyze  
628 them offer a wealth of opportunity in the field of AD  
629 research, allowing high-throughput analyses and new  
630 insights into disease processes. These developments  
631 have led to rapid discoveries regarding disease risk fac-  
632 tors, how to predict AD onset, and how to monitor its  
633 progression. Such insights are essential for planning  
634 future studies as they identify sensitive biomarkers that  
635 most reliably reflect disease processes, allowing for  
636 more focused treatment and prevention efforts with the  
637 greatest statistical power to detect their effects.

## 638 ACKNOWLEDGMENTS

639 This manuscript was supported, in part, by the  
640 National Institute on Aging (R01 AG040060-01), by  
641 the National Institute of Child Health and Human



Development (R01 HD050735), and by the Northern California Institute for Research & Education/NIH (RC2 AG036535).

Authors' disclosures available online (<http://www.jalz.com/disclosures/view.php?id=1335>).

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